

# CORPORATE RISK REGISTER

(Revised February 2008)

## Objective 1

RISK - Failure to ensure NIPB informs the public and measures public awareness of and satisfaction with advances in policing.

Risk Owner Trevor Reaney (CEO)

<u>Risk</u>			<u>How is Risk Currently Managed</u>	<u>Residual</u>		<u>Action Plan</u>		
<u>Threat</u>	<u>Inherent</u>			<u>Imp</u>	<u>Like</u>	<u>Additional Actions Required (state if residual risk is being accepted)</u>	<u>Action by Whom</u>	<u>Date</u>
	<u>Imp</u>	<u>Like</u>						
<p><b>Failure to</b></p> <p>Inform the public regarding policing issues.</p> <p>Measure public awareness and satisfaction with policing.</p>	<b>H</b>	<b>L</b>	<p>NIPB formulates strategies and (monitoring) frameworks to meet current and future legislative and corporate responsibilities and to monitor PSNI. (assigned to appropriate Directorate)</p> <p>Strategies are approved by NIPB (as required), implemented, reviewed and the results reported corporately to various Board Committees, the Board and if required results are published.</p> <p>NIPB holds (at least) eight meeting in public annually.</p> <p>NIPB establish, develop and maintain partnerships with various interested bodies (linked to policing), e.g. PSNI, District Policing Partnerships, Community Safety Partnerships, etc. These relationships are monitored reported on as required.</p> <p>The role of NIPB and the results it achieves are actively communicated to the public in line with the various Communications Strategies of PSNI and NIPB.</p>	<b>L</b>	<b>L</b>	<p>NIPB members are provided with appropriate training as required to meet their Board obligations.</p> <p>CEO meets regularly with Chairperson, Vice-Chairperson and Board Members to seek views and take forward actions as required.</p> <p>CEO assigned responsibility and monitors for each area of work to a specific Director to take forward.</p> <p>CEO provides direction and follows up on progress against performance indicators regularly.</p>	CEO	Ongoing

**Objective 2**

RISK - Failure to ensure NIPB complies with its legislative responsibilities for both PSNI & itself in respect of Effective Police Service.

**Risk Owner** Trevor Reaney (CEO)

Risk			How is Risk Currently Managed	Residual		Action Plan		
Threat	Inherent			Imp	Like	Additional Actions Required (state if residual risk is being accepted)	Action by Whom	Date
	Imp	Like						
<p><b>Failure to PSNI</b> Agree and review annual financial budgets</p> <p>To ensure PSNI operates within its delegate limits and achieves maximum efficiency and effectiveness.</p> <p>Agree an appropriate Best Value Review</p> <p>Recruit appropriately qualified senior police officers.</p> <p>Investigate complaints against senior police officers</p>	H	M	<p>NIPB agrees and reviews fiscal requirements of PSNI in line with legislative and HM guidelines and approves budget requirements annually.</p> <p>NIPB monitors and clarifies fiscal expenditure at the appropriate Committee which then reports to the Board.</p> <p>An agreed methodology is used to determine appropriate Best Value review areas within PSNI. The areas are discussed the joint Strategy Day between PSNI and NIPB. An area/s is/are agreed published within the Annual Policing Plan.</p> <p>Strategic monitoring and overview of Best Value Plan carried out by CISWG and an appropriate Board Committee.</p> <p>Recruitment of Senior Police Officers is carried in line with NIPB and Chief Constable requirements and in line with legislative requirements.</p> <p>Complaints against senior police are investigated as per procedural guidance and the results reported to the Board for appropriate action as deemed necessary.</p>	L	L	<p>Ongoing liaison and reporting with and to NIPB Board</p> <p>NIPB Committees</p> <p>CEO</p> <p>SMT</p> <p>Individual Directors</p> <p>NIO</p> <p>NAO / NIAO</p> <p>HMIC</p> <p>DPPs</p>	CEO	Ongoing

**Objective 2 (continued)**

Risk			How is Risk Currently Managed	Residual		Action Plan		
Threat	Inherent			Imp	Like	Additional Actions Required <i>(state if residual risk is being accepted)</i>	Action by Whom	Date
	Imp	Like						
<p><b>Failure to</b></p> <p><b>NIPB</b> To measure public satisfaction with NIPB and DPPs.</p> <p>Agree and review annual financial budgets to achieves maximum efficiency and effectiveness</p> <p>Administer Injury on Duty and Medical retirement pension applications.</p> <p>Develop, integrate and monitor Corporate Governance and risk management procedures.</p> <p>Recruit appropriately qualified senior police officers.</p> <p>Agree an appropriate Best Value Review</p>	H	M	<p>NIPB agrees and reviews fiscal requirements in line with legislative and HM guidelines and submits for approval budget requirements to NIO annually.</p> <p>NIPB monitors and clarifies fiscal expenditure to the appropriate Committee which then reports to the Board.</p> <p>Legislative and procedural guidance followed in approving Injury on Duty and Medical retirement pension applications which is monitored by appropriate Committee and Board.</p> <p>NIPB ensures governance arrangements are put in place to meet NIPB requirements, monitored and reported on as required.</p> <p>Recruitment of Senior Police Officers is carried in line with NIPB and Chief Constable requirements and in line with legislative and procedural requirements.</p> <p>NIPB has established a methodology used to determine appropriate Best Value review areas within NIPB. Proposal/s submitted to appropriate Committee for consideration and approval. Area/s is/are agreed published within the Annual Policing Plan. This process is reviewed by external authorities</p> <p>To ensure NIPB complies with all legislative and HM Government requirements.</p>	L	L	<p>Ongoing liaison and reporting with and to NIPB Board</p> <p>NIPB Committees</p> <p>CEO</p> <p>SMT</p> <p>NIO</p> <p>NAO / NIAO</p> <p>HMIC</p> <p>DPPs</p>	CEO	Ongoing

Risk			How is Risk Currently Managed	Residual		Action Plan		
Threat	Inherent			Imp	Like	Additional Actions Required (state if residual risk is being accepted)	Action by Whom	Date
	Imp	Like						
<b>Failure to</b>  <b>NIPB</b> To measure public satisfaction with NIPB and DPPs.  Agree and review annual financial budgets to achieves maximum efficiency and effectiveness  Administer Injury on Duty and Medical retirement pension applications.  Develop, integrate and monitor Corporate Governance and risk management procedures.  Recruit appropriately qualified senior police officers.  Agree an appropriate Best Value Review	H	M	<p>NIPB agrees and reviews fiscal requirements in line with legislative and HM guidelines and submits for approval budget requirements to NIO annually.</p> <p>NIPB monitors and clarifies fiscal expenditure to the appropriate Committee which then reports to the Board.</p> <p>Legislative and procedural guidance followed in approving Injury on Duty and Medical retirement pension applications which is monitored by appropriate Committee and Board.</p> <p>NIPB ensures governance arrangements are put in place to meet NIPB requirements, monitored and reported on as required.</p> <p>Recruitment of Senior Police Officers is carried in line with NIPB and Chief Constable requirements and in line with legislative and procedural requirements.</p> <p>NIPB has established a methodology used to determine appropriate Best Value review areas within NIPB. Proposal/s submitted to appropriate Committee for consideration and approval. Area/s is/are agreed published within the Annual Policing Plan. This process is reviewed by external authorities</p> <p>To ensure NIPB complies with all legislative and HM Government requirements.</p>	L	L	Ongoing liaison and reporting with and to NIPB Board NIPB Committees CEO SMT NIO NAO / NIAO HMIC DPPs	CEO	

**Objective 3**

RISK - Failure to ensure NIPB complies with its legislative responsibilities for both PSNI & itself in respect of Efficiency Police Service.

Risk Owner Trevor Reaney (CEO)

<b>Risk</b>							<b>Action Plan</b>	
<b>Threat</b>	<b>Inherent</b>		<b>How is Risk Currently Managed</b>	<b>Residual</b>		<b>Additional Actions Required (state if residual risk is being accepted)</b>	<b>Action by Whom</b>	<b>Date</b>
	<b>Imp</b>	<b>Like</b>		<b>Imp</b>	<b>Like</b>			
<b>Failure to</b>  Deliver an efficient Police Service.	<b>H</b>	<b>L</b>	<p>NIPB puts in place appropriate procedures to prepare and publish the Annual Policing Plan in line with legislative and partnership requirement.</p> <p>NIPB requires PSNI to report on the Policing Plan objectives to relevant Committees and Board.</p> <p>NIPB monitors specific strategies / areas / reports in line with responsibilities and these are reported to the various Committees and Board.</p> <p>NIPB maintains and monitors voluntary Schemes as per responsibilities.</p>	<b>L</b>	<b>L</b>	<p>Ongoing liaison and reporting with and to</p> <p>NIPB Board</p> <p>NIPB Committees</p> <p>CEO</p> <p>SMT</p> <p>Individual Directors</p> <p>NIO</p> <p>NAO / NIAO</p> <p>HMIC</p> <p>DPPs</p>	CEO	Ongoing

**Objective 4**

RISK - Failure to have time available from Members to fulfil all the demands and commitments required by the Board.

Risk Owner Trevor Reaney (CEO)

<b>Risk</b>			<b>Action Plan</b>					
<b><u>Threat</u></b>	<b><u>Inherent</u></b>		<b><u>How is Risk Currently Managed</u></b>	<b><u>Residual</u></b>		<b><u>Additional Actions Required</u> <i>(state if residual risk is being accepted)</i></b>	<b><u>Action by Whom</u></b>	<b><u>Date</u></b>
	<b><u>Imp</u></b>	<b><u>Like</u></b>		<b><u>Imp</u></b>	<b><u>Like</u></b>			
<b>Failure to</b> Deliver an efficient and effectively functioning Board								

**Objective 5**

RISK - Failure to provide adequate staffing resource to support the Board and its Committees during a period of significant staffing change.

Risk Owner Trevor Reaney (CEO)

<b>Risk</b>			<b>Action Plan</b>					
<b><u>Threat</u></b>	<b><u>Inherent</u></b>		<b><u>How is Risk Currently Managed</u></b>	<b><u>Residual</u></b>		<b><u>Additional Actions Required</u> <i>(state if residual risk is being accepted)</i></b>	<b><u>Action by Whom</u></b>	<b><u>Date</u></b>
	<b><u>Imp</u></b>	<b><u>Like</u></b>		<b><u>Imp</u></b>	<b><u>Like</u></b>			
<b>Failure to</b> Deliver an efficient support to the Board and its Committees								