

**NORTHERN IRELAND POLICING BOARD**

**AUDIT AND RISK MANAGEMENT COMMITTEE**

**MEETING HELD ON FRIDAY, 22 JUNE 2007 AT 10:00AM IN WATERSIDE TOWER,  
BELFAST**

**PRESENT:**

**MEMBERS:**

Mr Trevor Ringland (Chairman)  
Ms Dolores Kelly  
Mr Peter Weir

**NORTHERN IRELAND AUDIT  
OFFICE (NIAO) IN  
ATTENDANCE:**

One representative

**PRICE  
WATERHOUSECOOPERS  
(PWC) IN ATTENDANCE**

One representative

**OFFICIALS IN ATTENDANCE:**

Mr Trevor Reaney (Chief Executive)  
Mr David Wilson (Director of Planning)  
Mrs Sinead Simpson (Director of Policy)  
2 Board Officials

**1. APOLOGIES**

Apologies were received from Mr McKay (Vice Chairman), Mr Rose and Mr Sharma.  
NOTED.

**2. MINUTES OF AUDIT AND RISK MANAGEMENT COMMITTEE MEETING  
HELD ON 24 AUGUST 2006**

The Committee considered the draft minutes of the meeting held on 24 August 2006.

It was:-

**AGREED:**

That the draft minutes of the Audit and Risk Management Committee meeting held on 24 August 2006 should be agreed.

**3. NOTE OF MEETING HELD ON 28 FEBRUARY 2007**

The Committee noted details of a record of a meeting held on 28 February 2007 regarding Audit and Risk Management issues. NOTED.

**4. MATTERS ARISING FROM NOTE OF MEETING**

**4.1 Northern Ireland Policing Board's Risk Management Policy And Implementation** (Item 1 Of Note Of Meeting Refers)

The Committee noted that a 6 monthly update on areas of high risk affecting the Board would be provided to the next meeting of the Committee. NOTED.

**4.2 Presentation By The Chairman Of The PSNI Audit Committee** (Item 3 Of Note Of Meeting Refers)

The Committee noted that a letter had been sent to the Chief Constable expressing Members concerns regarding the status of the recommendations contained in the audit reports relating to the Police College, and seeking assurances that the recommendations were being dealt with. These issues would be picked up at a future meeting with the Chair at the PSNI Audit Committee in September 2007. NOTED.

It was:-

**AGREED:**

That the Chairman of the PSNI Audit Committee should be invited to meet the Committee at the September 2007 meeting to discuss audit issues relating to PSNI.

**4.3 2007/2008 Audit Plan** (Item 5 Of Note Of Meeting Refers)

The Committee noted that the 2007/2008 Audit Plan had been approved by the Board on 22 March 2007. NOTED.

**4.4 Funding Of Ballynahinch CCTV Scheme** (Item 6 Of Note Of Meeting Refers)

This matter was considered at item number 8.2.

**4.5 Best Practice** (Item 7 Of Note Of Meeting Refers)

The Committee noted that a paper would be presented to the next meeting on the work of the Committee during 2006 – 2007. NOTED.

**5. CORPORATE GOVERNANCE, INTERNAL AUDIT AND EXTERNAL AUDIT**

The representative from PWC gave a presentation to the Committee regarding:-

- the Audit and Risk Management Committee's role within the current governance structures of the Board;
- the link between the Corporate Governance arrangements and the existing risk management framework in place within the Board;
- the role of the Board's internal auditors.

A copy of the presentation is attached at Appendix 'A'.

Subsequent discussion focused around Members' corporate responsibility within the Board and as Members of the Audit and Risk Management Committee.

The NIAO representative gave a presentation to Members on Audit and Accountability in the public sector and the roles of the National and Northern Ireland Audit Offices.

A copy of the presentation is attached at Appendix 'B'.

The representative from NIAO explained that the financial audit which had been carried out by NIAO on the Board's 2006 – 2007 Annual Financial Statement would be signed off shortly and the accounts would be laid before Parliament before the summer recess. The representative indicated that a clear certificate and no report was likely.

The Chief Executive advised the Committee about the financial arrangements between the Board and the PSNI and explained that the Board's regulatory responsibilities in respect of PSNI finances would be considered at future meetings of this Committee, and the Resources and Improvement Committee. NOTED.

## **6. CHAIRMAN'S BUSINESS**

### **6.1 Schedule Of Meetings - 2007**

The Committee discussed the dates of meetings for the Committee during September and December 2007.

It was:-

#### **RECOMMENDED:**

That the Committee should meet on the following dates at 1:30pm to tie in with meetings of the Resources and Improvement Committee.

Friday 21 September 2007

Friday 21 December 2007

## **6.2 Review Of Committees**

Officials referred to a query which had been raised by the Vice-Chairman regarding the timing of the issue of papers for the meeting and explained that a review would be carried out regarding how Board Officials serviced the Committee. NOTED.

## **7. NORTHERN IRELAND POLICING BOARD INTERNAL AUDIT ANNUAL REPORT 2006/2007, AUDIT STRATEGY, PERIODIC WORKPLAN 2007/2008 AND INDIVIDUAL AUDIT TERMS OF REFERENCE**

The representative from PWC presented a paper regarding the Internal Audit Annual Report for the period 2006 – 2007 and the Board's Audit Strategy and Periodic Work Plans for 2007 – 2008.

It was:-

### **RESOLVED:**

- to approve the Annual Report for the period 2006 – 2007;
- to approve the Terms of Reference in respect of the 2007 – 2008 Periodic Work Plan.

## **8. INFORMATION PAPERS**

### **8.1 Northern Ireland Policing Board's Risk Management Policy and Corporate and Directorate Risk Registers**

The Committee noted the content of a paper regarding the Board's Risk Management Policy and Corporate and Directorate Risk Registers. NOTED.

## **8.2 Status Report On Ballynahinch CCTV Scheme**

The Committee noted the content of a paper which outlined the current position in respect of funding of Ballynahinch CCTV Partnership and the legal advice which had been given by the Crown Solicitor. Discussions were ongoing regarding settlement of the case and Members would be kept informed of developments. NOTED

## **9. MATTERS TO BE RAISED WITH THE CHIEF CONSTABLE AT THE NEXT BOARD MEETING**

There were no issues to raise with the Chief Constable at the next Board meeting arising from the meeting.

## **10. COMMUNICATION ISSUES**

There were no communication issues arising from the meeting.

## **11. ANY OTHER BUSINESS**

### **11.1 Best Practice for Audit Committees in Government**

Officials advised Members of a course in relation to Best Practice for Audit Committees in Government which would be held during October/November 2007.

All Members of the Committee would be invited to attend and further details would be provided at the September 2007 meeting.

## **12. DATE OF NEXT MEETING**

The date of the next Audit and Risk Management Committee was arranged for 21 September 2007 at 1:30pm.

(Meeting closed 11:40am).

## **SECRETARIAT**

**June 2007**

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**CHAIRMAN**